Application Form

Client Investment profile Questionnaire

**NATURAL PERSONS**

Reviewed by: CO

Date of last review: 19/06/2024

Date of next review: 19/06/2025

Approved by BOD: 25/06/2024

**WARNING**: Unless this questionnaire is fully completed, we will not be able to assess the appropriateness of any envisaged services or transactions

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# General

## Customer details

|  |  |
| --- | --- |
| First and last name | Click or tap here to enter text. |
| Telephone number(s) | Click or tap here to enter text. |
| Fax number | Click or tap here to enter text. |
| Email address | Click or tap here to enter text. |
| Current full permanent address | Click or tap here to enter text. |
| Post address including postal code | Click or tap here to enter text. |

## Education level

|  |  |  |  |
| --- | --- | --- | --- |
| No High School | High School | College Degree | University Degree |
| [ ]  | [ ]  | [ ]  | [ ]  |

### Passport/identification card details

|  |  |
| --- | --- |
| First name | Click or tap here to enter text. |
| Last name | Click or tap here to enter text. |
| Middle/Initial | Click or tap here to enter text. |
| Gender | Choose an item. |
| Number | Click or tap here to enter text. |
| Series | Click or tap here to enter text. |
| Date of issue | Click or tap to enter a date. |
| Expiry date | Click or tap to enter a date. |
| Issuing authority | Click or tap here to enter text. |
| Nationality | Click or tap here to enter text. |
| Place of birth | Click or tap here to enter text. |
| Date of birth | Click or tap to enter a date. |

### Other

|  |  |
| --- | --- |
| Current full permanent address | Click or tap here to enter text. |
| Profession | Click or tap here to enter text. |
| Telephone number(s) | Click or tap here to enter text. |
| Fax number | Click or tap here to enter text. |
| Email address | Click or tap here to enter text. |

# Customer experience with financial instruments

## Period

|  |  |
| --- | --- |
| Extensive (more than 5 years) | [ ]  |
| Moderate (2 – 5 years) | [ ]  |
| Little (less than 2 years) | [ ]  |
| No experience | [ ]  |

## Nature of financial instruments

### Transferable securities

|  |  |
| --- | --- |
| Experienced with Transferable Securities | [ ]  |
| Average value of transactions over the previous year (EURO) | Click or tap here to enter text. |
| Average frequency of transactions per quarter over the previous year | Click or tap here to enter text. |

### Corporate bonds

|  |  |
| --- | --- |
| Experienced with Corporate bonds | [ ]  |
| Average value of transactions over the previous year (EURO) | Click or tap here to enter text. |
| Average frequency of transactions per quarter over the previous year | Click or tap here to enter text. |

### Government or municipal bonds

|  |  |
| --- | --- |
| Experienced with Government or municipal bonds | [ ]  |
| Average value of transactions over the previous year (EURO) | Click or tap here to enter text. |
| Average frequency of transactions per quarter over the previous year | Click or tap here to enter text. |

### Promissory notes

|  |  |
| --- | --- |
| Experienced with Promissory notes | [ ]  |
| Average value of transactions over the previous year (EURO) | Click or tap here to enter text. |
| Average frequency of transactions per quarter over the previous year | Click or tap here to enter text. |

### Units in collective investment undertakings (UCITS)

|  |  |
| --- | --- |
| Experienced with Units in collective investment undertakings (UCITS) | [ ]  |
| Average value of transactions over the previous year (EURO) | Click or tap here to enter text. |
| Average frequency of transactions per quarter over the previous year | Click or tap here to enter text. |

### Options, futures, swaps, FRAs relating to securities

|  |  |
| --- | --- |
| Experienced with Options, futures, swaps, FRAs relating to securities | [ ]  |
| Average value of transactions over the previous year (EURO) | Click or tap here to enter text. |
| Average frequency of transactions per quarter over the previous year | Click or tap here to enter text. |

### Options, futures, swaps, FRAs relating to commodities that must be settled in cash

|  |  |
| --- | --- |
| Experienced with Options, futures, swaps, FRAs relating to commodities that must be settled in cash | [ ]  |
| Average value of transactions over the previous year (EURO) | Click or tap here to enter text. |
| Average frequency of transactions per quarter over the previous year | Click or tap here to enter text. |

### Options, futures, swaps, FRAs relating to commodities that can be physically settled and also, they are traded

|  |  |
| --- | --- |
| Experienced with Options, futures, swaps, FRAs relating to commodities that can be physically settled and also, they are traded | [ ]  |
| Average value of transactions over the previous year (EURO) | Click or tap here to enter text. |
| Average frequency of transactions per quarter over the previous year | Click or tap here to enter text. |

### Derivative instruments

|  |  |
| --- | --- |
| Experienced with Derivative instruments | [ ]  |
| Average value of transactions over the previous year (EURO) | Click or tap here to enter text. |
| Average frequency of transactions per quarter over the previous year | Click or tap here to enter text. |

### Contracts for differences/Forex

|  |  |
| --- | --- |
| Experienced with Contracts for differences/Forex | [ ]  |
| Average value of transactions over the previous year (EURO) | Click or tap here to enter text. |
| Average frequency of transactions per quarter over the previous year | Click or tap here to enter text. |

### Options, futures, swaps, FRAs relating to climatic variables, inflation rates etc.

|  |  |
| --- | --- |
| Experienced with Options, futures, swaps, FRAs relating to climatic variable, inflation rates etc. | [ ]  |
| Average value of transactions over the previous year (EURO) | Click or tap here to enter text. |
| Average frequency of transactions per quarter over the previous year | Click or tap here to enter text. |

### Repos

|  |  |
| --- | --- |
| Experienced with Repos | [ ]  |
| Average value of transactions over the previous year (EURO) | Click or tap here to enter text. |
| Average frequency of transactions per quarter over the previous year | Click or tap here to enter text. |

### Alternate instrument

|  |  |  |
| --- | --- | --- |
| Experienced with | *Please specify:*Click or tap here to enter text. | [ ]  |
| Average value of transactions over the previous year (EURO) | Click or tap here to enter text. |
| Average frequency of transactions per quarter over the previous year | Click or tap here to enter text. |

### Alternate instrument

|  |  |  |
| --- | --- | --- |
| Experienced with | *Please specify:*Click or tap here to enter text. | [ ]  |
| Average value of transactions over the previous year (EURO) | Click or tap here to enter text. |
| Average frequency of transactions per quarter over the previous year | Click or tap here to enter text. |

### Alternate instrument

|  |  |  |
| --- | --- | --- |
| Experienced with | *Please specify:*Click or tap here to enter text. | [ ]  |
| Average value of transactions over the previous year (EURO) | Click or tap here to enter text. |
| Average frequency of transactions per quarter over the previous year | Click or tap here to enter text. |

# Investment goals

## Notes:

1. **Capital Protection**: Preserve capital while seeking growth at rate linked to a stock market Index. Recommended investment period is 3-5 years.
2. **Capital Growth**: Maximize capital appreciation or the increase in value of a portfolio over the long term. Investments targeted for capital growth tend to have more risk than assets chosen for capital protection and income generation. Recommended investment period is over 5 years.
3. **Income Generation**: Investment income earned from interest, dividends etc as opposed to that derived from increases in asset value. Risk is usually less than investments targeted for capital growth.
4. **Income Generation + Capital Growth**: Combination of (b) and (c).
5. **Speculation**: This type of investment does not promise safety of the initial investment along with the return on the principal amount. Speculators usually select investments with higher risk to profit from an anticipated price movement. Speculation involves a significant risk of the loss of the principal investment.

## Transferable securities

|  |  |
| --- | --- |
| **YES** | [ ]  |

### Duration of investment

|  |  |
| --- | --- |
| Day trading | [ ]  |
| Less than 1 year | [ ]  |
| 1 – 3 years | [ ]  |
| 3 – 5 years | [ ]  |
| 5 – 10 years | [ ]  |
| More than 10 years | [ ]  |

### Expected frequency of transactions per year

|  |  |
| --- | --- |
| 1 – 9 | [ ]  |
| 10 – 99 | [ ]  |
| 100 – 999 | [ ]  |
| 1000+ | [ ]  |

### Level of investment risk

|  |  |  |
| --- | --- | --- |
| Low | Medium | High |
| [ ]  | [ ]  | [ ]  |

### Investment objectives

|  |  |
| --- | --- |
| Capital protection | [ ]  |
| Capital Growth | [ ]  |
| Speculation | [ ]  |
| Income generation | [ ]  |
| Income Generation + Capital Growth | [ ]  |
| Other | *Please specify:*Click or tap here to enter text. | [ ]  |

## Corporate bonds

|  |  |
| --- | --- |
| **YES** | [ ]  |

### Duration of investment

|  |  |
| --- | --- |
| Day trading | [ ]  |
| Less than 1 year | [ ]  |
| 1 – 3 years | [ ]  |
| 3 – 5 years | [ ]  |
| 5 – 10 years | [ ]  |
| More than 10 years | [ ]  |

### Expected frequency of transactions per year

|  |  |
| --- | --- |
| 1 – 9 | [ ]  |
| 10 – 99 | [ ]  |
| 100 – 999 | [ ]  |
| 1000+ | [ ]  |

### Level of investment risk

|  |  |  |
| --- | --- | --- |
| Low | Medium | High |
| [ ]  | [ ]  | [ ]  |

### Investment objectives

|  |  |
| --- | --- |
| Capital protection | [ ]  |
| Capital Growth | [ ]  |
| Speculation | [ ]  |
| Income generation | [ ]  |
| Income Generation + Capital Growth | [ ]  |
| Other | *Please specify:*Click or tap here to enter text. | [ ]  |

## Government or municipal bonds

|  |  |
| --- | --- |
| **YES** | [ ]  |

### Duration of investment

|  |  |
| --- | --- |
| Day trading | [ ]  |
| Less than 1 year | [ ]  |
| 1 – 3 years | [ ]  |
| 3 – 5 years | [ ]  |
| 5 – 10 years | [ ]  |
| More than 10 years | [ ]  |

### Expected frequency of transactions per year

|  |  |
| --- | --- |
| 1 – 9 | [ ]  |
| 10 – 99 | [ ]  |
| 100 – 999 | [ ]  |
| 1000+ | [ ]  |

### Level of investment risk

|  |  |  |
| --- | --- | --- |
| Low | Medium | High |
| [ ]  | [ ]  | [ ]  |

### Investment objectives

|  |  |
| --- | --- |
| Capital protection | [ ]  |
| Capital Growth | [ ]  |
| Speculation | [ ]  |
| Income generation | [ ]  |
| Income Generation + Capital Growth | [ ]  |
| Other | *Please specify:*Click or tap here to enter text. | [ ]  |

## Promissory notes

|  |  |
| --- | --- |
| **YES** | [ ]  |

### Duration of investment

|  |  |
| --- | --- |
| Day trading | [ ]  |
| Less than 1 year | [ ]  |
| 1 – 3 years | [ ]  |
| 3 – 5 years | [ ]  |
| 5 – 10 years | [ ]  |
| More than 10 years | [ ]  |

### Expected frequency of transactions per year

|  |  |
| --- | --- |
| 1 – 9 | [ ]  |
| 10 – 99 | [ ]  |
| 100 – 999 | [ ]  |
| 1000+ | [ ]  |

### Level of investment risk

|  |  |  |
| --- | --- | --- |
| Low | Medium | High |
| [ ]  | [ ]  | [ ]  |

### Investment objectives

|  |  |
| --- | --- |
| Capital protection | [ ]  |
| Capital Growth | [ ]  |
| Speculation | [ ]  |
| Income generation | [ ]  |
| Income Generation + Capital Growth | [ ]  |
| Other | *Please specify:*Click or tap here to enter text. | [ ]  |

## Units in collective investment undertakings (UCITS)

|  |  |
| --- | --- |
| **YES** | [ ]  |

### Duration of investment

|  |  |
| --- | --- |
| Day trading | [ ]  |
| Less than 1 year | [ ]  |
| 1 – 3 years | [ ]  |
| 3 – 5 years | [ ]  |
| 5 – 10 years | [ ]  |
| More than 10 years | [ ]  |

### Expected frequency of transactions per year

|  |  |
| --- | --- |
| 1 – 9 | [ ]  |
| 10 – 99 | [ ]  |
| 100 – 999 | [ ]  |
| 1000+ | [ ]  |

### Level of investment risk

|  |  |  |
| --- | --- | --- |
| Low | Medium | High |
| [ ]  | [ ]  | [ ]  |

### Investment objectives

|  |  |
| --- | --- |
| Capital protection | [ ]  |
| Capital Growth | [ ]  |
| Speculation | [ ]  |
| Income generation | [ ]  |
| Income Generation + Capital Growth | [ ]  |
| Other | *Please specify:*Click or tap here to enter text. | [ ]  |

## Options, futures, swaps, FRAs relating to securities:

|  |  |
| --- | --- |
| **YES** | [ ]  |

### Duration of investment

|  |  |
| --- | --- |
| Day trading | [ ]  |
| Less than 1 year | [ ]  |
| 1 – 3 years | [ ]  |
| 3 – 5 years | [ ]  |
| 5 – 10 years | [ ]  |
| More than 10 years | [ ]  |

### Expected frequency of transactions per year

|  |  |
| --- | --- |
| 1 – 9 | [ ]  |
| 10 – 99 | [ ]  |
| 100 – 999 | [ ]  |
| 1000+ | [ ]  |

### Level of investment risk

|  |  |  |
| --- | --- | --- |
| Low | Medium | High |
| [ ]  | [ ]  | [ ]  |

### Investment objectives

|  |  |
| --- | --- |
| Capital protection | [ ]  |
| Capital Growth | [ ]  |
| Speculation | [ ]  |
| Income generation | [ ]  |
| Income Generation + Capital Growth | [ ]  |
| Other | *Please specify:*Click or tap here to enter text. | [ ]  |

## Options, futures, swaps, FRAs relating to commodities that must be settled in cash

|  |  |
| --- | --- |
| **YES** | [ ]  |

### Duration of investment

|  |  |
| --- | --- |
| Day trading | [ ]  |
| Less than 1 year | [ ]  |
| 1 – 3 years | [ ]  |
| 3 – 5 years | [ ]  |
| 5 – 10 years | [ ]  |
| More than 10 years | [ ]  |

### Expected frequency of transactions per year

|  |  |
| --- | --- |
| 1 – 9 | [ ]  |
| 10 – 99 | [ ]  |
| 100 – 999 | [ ]  |
| 1000+ | [ ]  |

### Level of investment risk

|  |  |  |
| --- | --- | --- |
| Low | Medium | High |
| [ ]  | [ ]  | [ ]  |

### Investment objectives

|  |  |
| --- | --- |
| Capital protection | [ ]  |
| Capital Growth | [ ]  |
| Speculation | [ ]  |
| Income generation | [ ]  |
| Income Generation + Capital Growth | [ ]  |
| Other | *Please specify:*Click or tap here to enter text. | [ ]  |

## Options, futures, swaps, FRAs relating to commodities that can be physically settled and also, they are traded

|  |  |
| --- | --- |
| **YES** | [ ]  |

### Duration of investment

|  |  |
| --- | --- |
| Day trading | [ ]  |
| Less than 1 year | [ ]  |
| 1 – 3 years | [ ]  |
| 3 – 5 years | [ ]  |
| 5 – 10 years | [ ]  |
| More than 10 years | [ ]  |

### Expected frequency of transactions per year

|  |  |
| --- | --- |
| 1 – 9 | [ ]  |
| 10 – 99 | [ ]  |
| 100 – 999 | [ ]  |
| 1000+ | [ ]  |

### Level of investment risk

|  |  |  |
| --- | --- | --- |
| Low | Medium | High |
| [ ]  | [ ]  | [ ]  |

### Investment objectives

|  |  |
| --- | --- |
| Capital protection | [ ]  |
| Capital Growth | [ ]  |
| Speculation | [ ]  |
| Income generation | [ ]  |
| Income Generation + Capital Growth | [ ]  |
| Other | *Please specify:*Click or tap here to enter text. | [ ]  |

## Derivative instruments

|  |  |
| --- | --- |
| **YES** | [ ]  |

### Duration of investment

|  |  |
| --- | --- |
| Day trading | [ ]  |
| Less than 1 year | [ ]  |
| 1 – 3 years | [ ]  |
| 3 – 5 years | [ ]  |
| 5 – 10 years | [ ]  |
| More than 10 years | [ ]  |

### Expected frequency of transactions per year

|  |  |
| --- | --- |
| 1 – 9 | [ ]  |
| 10 – 99 | [ ]  |
| 100 – 999 | [ ]  |
| 1000+ | [ ]  |

### Level of investment risk

|  |  |  |
| --- | --- | --- |
| Low | Medium | High |
| [ ]  | [ ]  | [ ]  |

### Investment objectives

|  |  |
| --- | --- |
| Capital protection | [ ]  |
| Capital Growth | [ ]  |
| Speculation | [ ]  |
| Income generation | [ ]  |
| Income Generation + Capital Growth | [ ]  |
| Other | *Please specify:*Click or tap here to enter text. | [ ]  |

## Contracts for differences/Forex

|  |  |
| --- | --- |
| **YES** | [ ]  |

### Duration of investment

|  |  |
| --- | --- |
| Day trading | [ ]  |
| Less than 1 year | [ ]  |
| 1 – 3 years | [ ]  |
| 3 – 5 years | [ ]  |
| 5 – 10 years | [ ]  |
| More than 10 years | [ ]  |

### Expected frequency of transactions per year

|  |  |
| --- | --- |
| 1 – 9 | [ ]  |
| 10 – 99 | [ ]  |
| 100 – 999 | [ ]  |
| 1000+ | [ ]  |

### Level of investment risk

|  |  |  |
| --- | --- | --- |
| Low | Medium | High |
| [ ]  | [ ]  | [ ]  |

### Investment objectives

|  |  |
| --- | --- |
| Capital protection | [ ]  |
| Capital Growth | [ ]  |
| Speculation | [ ]  |
| Income generation | [ ]  |
| Income Generation + Capital Growth | [ ]  |
| Other | *Please specify:*Click or tap here to enter text. | [ ]  |

## Options, futures, swaps, FRAs relating to climatic variable, inflation rates etc.

|  |  |
| --- | --- |
| **YES** | [ ]  |

### Duration of investment

|  |  |
| --- | --- |
| Day trading | [ ]  |
| Less than 1 year | [ ]  |
| 1 – 3 years | [ ]  |
| 3 – 5 years | [ ]  |
| 5 – 10 years | [ ]  |
| More than 10 years | [ ]  |

### Expected frequency of transactions per year

|  |  |
| --- | --- |
| 1 – 9 | [ ]  |
| 10 – 99 | [ ]  |
| 100 – 999 | [ ]  |
| 1000+ | [ ]  |

### Level of investment risk

|  |  |  |
| --- | --- | --- |
| Low | Medium | High |
| [ ]  | [ ]  | [ ]  |

### Investment objectives

|  |  |
| --- | --- |
| Capital protection | [ ]  |
| Capital Growth | [ ]  |
| Speculation | [ ]  |
| Income generation | [ ]  |
| Income Generation + Capital Growth | [ ]  |
| Other | *Please specify:*Click or tap here to enter text. | [ ]  |

## Repos

|  |  |
| --- | --- |
| **YES** | [ ]  |

### Duration of investment

|  |  |
| --- | --- |
| Day trading | [ ]  |
| Less than 1 year | [ ]  |
| 1 – 3 years | [ ]  |
| 3 – 5 years | [ ]  |
| 5 – 10 years | [ ]  |
| More than 10 years | [ ]  |

### Expected frequency of transactions per year

|  |  |
| --- | --- |
| 1 – 9 | [ ]  |
| 10 – 99 | [ ]  |
| 100 – 999 | [ ]  |
| 1000+ | [ ]  |

### Level of investment risk

|  |  |  |
| --- | --- | --- |
| Low | Medium | High |
| [ ]  | [ ]  | [ ]  |

### Investment objectives

|  |  |
| --- | --- |
| Capital protection | [ ]  |
| Capital Growth | [ ]  |
| Speculation | [ ]  |
| Income generation | [ ]  |
| Income Generation + Capital Growth | [ ]  |
| Other | *Please specify:*Click or tap here to enter text. | [ ]  |

## Alternate instrument

|  |  |
| --- | --- |
| **YES** | [ ]  |

### Duration of investment

|  |  |
| --- | --- |
| Day trading | [ ]  |
| Less than 1 year | [ ]  |
| 1 – 3 years | [ ]  |
| 3 – 5 years | [ ]  |
| 5 – 10 years | [ ]  |
| More than 10 years | [ ]  |

### Expected frequency of transactions per year

|  |  |
| --- | --- |
| 1 – 9 | [ ]  |
| 10 – 99 | [ ]  |
| 100 – 999 | [ ]  |
| 1000+ | [ ]  |

### Level of investment risk

|  |  |  |
| --- | --- | --- |
| Low | Medium | High |
| [ ]  | [ ]  | [ ]  |

### Investment objectives

|  |  |
| --- | --- |
| Capital protection | [ ]  |
| Capital Growth | [ ]  |
| Speculation | [ ]  |
| Income generation | [ ]  |
| Income Generation + Capital Growth | [ ]  |
| Other | *Please specify:*Click or tap here to enter text. | [ ]  |

# Funds available for investments (EUR)

|  |
| --- |
| Click or tap here to enter text. |

# Choose base currency

|  |  |  |  |
| --- | --- | --- | --- |
| USD | EUR | GBP | CHF |
| [ ]  | [ ]  | [ ]  | [ ]  |

# Choose Leverage (if applicable)

|  |  |
| --- | --- |
| 1:5 | [ ]  |
| 1:10 | [ ]  |
| 1:20 | [ ]  |

# Anticipated yearly account turnover (EUR)

|  |  |
| --- | --- |
| Up to 10,000 | [ ]  |
| Up to 30,000 | [ ]  |
| Up to 50,000 | [ ]  |
| Up to 100,000 | [ ]  |
| Up to 500,000 | [ ]  |
| Up to 1,000,000 | [ ]  |
| Over 1,000,000 | [ ]  |

# Customer Business and Financial Overview

## Source of funds

|  |
| --- |
| Click or tap here to enter text. |

## Expected origin of incoming funds to be credited in the account

|  |
| --- |
| Click or tap here to enter text. |

## Expected destination of outgoing transfers

|  |
| --- |
| Click or tap here to enter text. |

## Profession or Occupation

|  |
| --- |
| Click or tap here to enter text. |

## Name of the Employer

|  |
| --- |
| Click or tap here to enter text. |

## Address of the Employer

|  |
| --- |
| Click or tap here to enter text. |

## Annual net salary (EUR)

|  |
| --- |
| Click or tap here to enter text. |

## Other income (please specify)

|  |
| --- |
| Click or tap here to enter text. |

## Annual expenses

|  |
| --- |
| Click or tap here to enter text. |

## Number of dependents

|  |
| --- |
| Click or tap here to enter text. |

## Liabilities (loans, etc.)

|  |
| --- |
| Click or tap here to enter text. |

## Persons on which behalf the customer is acting (if applicable)

|  |
| --- |
| Click or tap here to enter text. |

## Purpose and reason for opening the account

|  |
| --- |
| Click or tap here to enter text. |

# Principal Assets of the Customer or of persons on which behalf the customer is acting (Please describe)

|  |  |
| --- | --- |
| Description | Value |
| Real Estates | Click or tap here to enter text. |
| Financial Instruments | Click or tap here to enter text. |
| Precious Metals | Click or tap here to enter text. |
| Bank Deposits | Click or tap here to enter text. |
| Other (Describe) | Click or tap here to enter text. |
| **TOTAL** | Click or tap here to enter text. |

# Sanctions form

## Does the Customer is a sanctioned party?

|  |  |
| --- | --- |
| YES | NO |
| [ ]  | [ ]  |

*A sanctioned party means any natural or legal person listed in the following lists:*

* *EU restrictive measures*
* *OFAC Sanctions List*
* *US Sectoral Sanctions Identifications List*
* *UK Treasury Sanctions List*
* *UN Security Council Sanctions List*

## Does the Customer have any sanctioned party as a business partner, counterparty, affiliate, persons on which behalf the customer is acting?

|  |  |
| --- | --- |
| YES | NO |
| [ ]  | [ ]  |

## Does the Customer or persons on which behalf the customer is acting have any sanctioned party in the ownership structure (if applicable)?

|  |  |
| --- | --- |
| YES | NO |
| [ ]  | [ ]  |

## Does the Customer have or plans to have presence or business in any of the following countries:

|  | YES | NO |
| --- | --- | --- |
| Afghanistan | [ ]  | [ ]  |
| Barbados | [ ]  | [ ]  |
| Burkina Faso | [ ]  | [ ]  |
| Cameroon | [ ]  | [ ]  |
| Democratic Republic of the Congo | [ ]  | [ ]  |
| Gibraltar | [ ]  | [ ]  |
| Haiti | [ ]  | [ ]  |
| Iran | [ ]  | [ ]  |
| Jamaica | [ ]  | [ ]  |
| Mali | [ ]  | [ ]  |
| Mozambique | [ ]  | [ ]  |
| Myanmar | [ ]  | [ ]  |
| Nigeria | [ ]  | [ ]  |
| North Korea | [ ]  | [ ]  |
| Panama | [ ]  | [ ]  |
| Philippines | [ ]  | [ ]  |
| Senegal | [ ]  | [ ]  |
| South Africa | [ ]  | [ ]  |
| South Sudan | [ ]  | [ ]  |
| Syria | [ ]  | [ ]  |
| Tanzania | [ ]  | [ ]  |
| Trinidad and Tobago | [ ]  | [ ]  |
| Uganda | [ ]  | [ ]  |
| United Arab Emirates | [ ]  | [ ]  |
| Vanuatu | [ ]  | [ ]  |
| Vietnam | [ ]  | [ ]  |
| Yemen | [ ]  | [ ]  |

## Does the Customer have or plans to have presence or business in the regions of Crimea / Sevastopol?

|  |  |
| --- | --- |
| YES | NO |
| [ ]  | [ ]  |

## Will any transactions performed through us benefit the sanctioned party?

|  |  |
| --- | --- |
| YES | NO |
| [ ]  | [ ]  |

# Personal declaration

## Do the customer or persons on which behalf the customer is acting hold or held in the last 12 months any prominent public functions (i.e. head of State, head of government, minister or deputy or assistant minister; member of parliament; member of supreme courts, of constitutional courts or of other high-level judicial bodies; member of courts of auditors or of the boards of central bank; ambassador; high-ranking officer in the armed forces; member of the administrative, management or supervisory bodies of State-owned enterprises)

|  |  |
| --- | --- |
| YES | NO |
| [ ]  | [ ]  |

## Is any of the immediate family members or close associate of the above persons such person? (the spouse or the person with which he/she cohabit for at least one year, their children and their spouses or the persons with which cohabit for at least one year, their parents, any natural person who is known to have joint beneficial ownership of legal entities or legal arrangements, or any other close business relations, with a PEP, any natural person who has sole beneficial ownership of a legal entity or legal arrangement which is known to have been set up for the benefit de facto of a PEP)

|  |  |
| --- | --- |
| YES | NO |
| [ ]  | [ ]  |

## Is the customer or persons on which behalf the customer is acting are involved in electronic gambling /gaming activities through the internet?

|  |  |
| --- | --- |
| YES | NO |
| [ ]  | [ ]  |

## Does the customer offer services (e.g., payment provider, software house, card acquirer) to persons involved in electronic gambling /gaming activities through the internet?

|  |  |
| --- | --- |
| YES | NO |
| [ ]  | [ ]  |

## Did the customer or persons on which behalf the customer is acting work in the past 5 years in the financial services sector for more than 1 year?

|  |  |
| --- | --- |
| YES | NO |
| [ ]  | [ ]  |

**If YES please indicate position and company name**

|  |
| --- |
| Click or tap here to enter text. |

## Does the customer or persons on which behalf the customer is acting have any certificate/license issue by any regulatory body or Ministry?

|  |  |
| --- | --- |
| YES | NO |
| [ ]  | [ ]  |

**If YES please provide detail information**

|  |
| --- |
| Click or tap here to enter text. |

## Have the customer or persons on which behalf the customer is acting ever declared bankruptcy?

|  |  |
| --- | --- |
| YES | NO |
| [ ]  | [ ]  |

# Bank account details

|  |  |
| --- | --- |
| BANK NAME | Click or tap here to enter text. |
| BANK ADDRESS | Click or tap here to enter text. |
| ACCOUNT HOLDER NAME | Click or tap here to enter text. |
| JOINT ACCOUNT HOLDER NAME | Click or tap here to enter text. |
| ACCOUNT NUBMER | Click or tap here to enter text. |
| SWIFT CODE | Click or tap here to enter text. |
| IBAN | Click or tap here to enter text. |

* I hereby declare acceptance of the General Rules and Regulations on Services on the Securities Market with **WISDOMPOINT CAPITAL LTD** (referred hereinafter as “the Company”), as stated on the Company website ( <https://wisdompointcapital.com> ), regulating the provision of services in the securities market, in the manner prescribed by Clauses 7 and 8 of the Contract Law of the Republic of Cyprus.
* I agree to be bound by the provisions of the General Rules and Regulations on Services on the Securities Market, as stated on the Company website (<https://wisdompointcapital.com>), including the conditions set out in the annexes and other addendum documents.
* I hereby agree to the terms and conditions of Order Execution Policy as stated on the Company website (<https://wisdompointcapital.com>).
* I am aware of the risks associated with transactions in financial instruments and release the Company from any liability resulting from the said transactions excluding the case of direct violation of the provisions of the General Rules and Regulations on Services on the Securities Market.
* I hereby confirm that I have read and understood the Provisions in relation to the Investor Compensation Fund as stated on the Company website (<https://wisdompointcapital.com>).
* I hereby confirm that I have read and understood the Risk Disclosure Notice as stated on the Company website (<https://wisdompointcapital.com>).
* I hereby confirm that I have read and understood the Conflict-of-Interest Policy as stated on the Company website (<https://wisdompointcapital.com>).
* I hereby confirm that I have read and understood the Complaint Handling Form as stated on the Company website (<https://wisdompointcapital.com>).
* I hereby confirm that I have read and understood the Margin Transaction Rules as stated on the Company website (<https://wisdompointcapital.com>). And I give my prior express consent for the use of the instruments on specified terms in the above mentioned Rules.
* I hereby confirm that I have read and understood the GDPR Disclaimer as stated on the Company website (<https://wisdompointcapital.com>/).

I,

Last and first name

HEREBY CONFIRM THE ABOVE INFORMATION IS COMPLETE, TRUE AND ACCURATE TO THE BEST OF MY KNOWLEDGE AND BELIEF.

Signature

Click or tap to enter a date.

Date

|  |
| --- |
| For Official Use Only |
| Date in: | Checked by: |
| Date processed: | Processed by: |
| Account Number: |  |
| Approved By: |
| Back office | Compliance Officer |
| SignatureDate (dd/mm/yyyy) | SignatureDate (dd/mm/yyyy) |